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September 9, 2004

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Art Unit 2163

GROUP 3600

Re: U.S. Utility Patent Application
Appl. No. 09/887,528; Filed: June 25, 2001
For: **Method and System for High Performance Model-Based
Personalization**

Inventors: Ekhaus *et al.*
Our Ref: 07744.0061 (2222.0730001)

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Revocation of Prior Power of Attorney and Appointment of New Attorneys of Record;
2. Statement Under 37 C.F.R. 3.73(b);
3. Change of Correspondence Address;
4. Request for Change of Attorney Docket Number; and
5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee
Attorney for Applicants
Registration No. 35,239

MQL/LAG/lam
Enclosures

308903.1



PTO/SB/98 (08-04)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)

07744.0061 (2222.0730001)

Applicant/Patent Owner: Ekhaus et al.

Application No./Patent No.: 09/887,528 Filed/Issue Date: June 25, 2001

Entitled: Method and System for High Performance Model-Based Personalization

Thalveg Data Flow LLC, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Michael A. Ekhaus To: Net Perceptions, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 012711, Frame 0176, or for which a copy thereof is attached.
2. From: Robert Driskill To: Net Perceptions, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 012710, Frame 0669, or for which a copy thereof is attached.
3. From: Filip Mulier To: Net Perceptions, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 012711, Frame 0195, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
Signature

26 August 2004
Date

JULIA WETTER
Printed or Typed Name

Telephone Number

AUTHORIZED PERSON
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Statement Under 37 C.F.R. 3.73(b) Supplemental Sheet

4. From: Net Perceptions, Inc. To: Thalveg Data Flow LLC
The document was recorded in the Patent and Trademark Office at
Reel 014782, Frame 0063, or for which a copy thereof is attached.



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ekhaus *et. Al.*

Application No.: 09/887,528

Filed: June 25, 2001

For: **Method and System for High
Performance Model-Based
Personalization**

Confirmation No.: 5737

Art Unit: 2163

Examiner: To be assigned

Atty. Docket: 07744.0061 (2222.0730001)

Request for Change of Attorney Docket Number Pleading

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

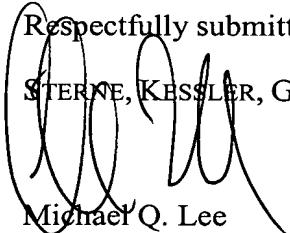
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SEP 16 2004
GROUP 3600

Sir:

Applicants respectfully request that the attorney docket number for the above-captioned application be changed from "**07744.0061**" to **--2222.0730001--**. Kindly use this attorney docket number in any future correspondence addressed to the undersigned.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.


Michael Q. Lee
Attorney for Applicants
Registration No. 35,239

Date: September 9, 2004

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